

12-11-00

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. **10762-9**First Named Inventor: **Bitler**To the Assistant Commissioner for Patents

Transmitted herewith for filing under 35 USC §111 and 37 CFR §1.53(b) is a non-provisional utility patent application entitled: **Polymeric Modifying Agents**

Items or Papers Included

1. ☒ Fee Transmittal Form (*Original, plus a duplicate for fee processing*) and check # 7808 for \$1191.00
2. ☒ Title page
3. ☒ Specification (Description: **55** pages; Claims: **5** page(s); Abstract: **1** page)
4. ☐ Drawings (35 USC §113) (sheet(s))
5. ☒ Oath or Declaration a. ☐ Newly executed (*original or copy*)
b. ☒ Copy from a prior application (37 CFR §1.63(d))
6. ☒ Incorporation by reference (usable if box 5b is checked): The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7. ☐ Assignment Papers (*cover sheet & documents*)
8. ☐ 37 CFR §3.73(b) Statement (*when there is an assignee*)
9. ☒ Power of Attorney (*if separate from oath or declaration*)
10. ☒ Preliminary Amendment
11. ☒ Information Disclosure Statement (IDS) and ☒ Form PTO-1449 (*in duplicate*)
☐ Copies of Citations (*except where not required*)
12. ☒ Return Receipt Postcard (MPEP §503) (*specifically itemized*)
13. ☐ Other (microfiche computer program, nucleotide sequence, etc.; specify): _____

Continuing Application Information

This application is a

☐

Continuation

☒

Divisional

☐

Continuation-in-part (CIP)

of prior application No.: **09/216,640**, filed **December 16, 1998**Prior application information: Examiner: **T. Yoon**Group/Art Unit: **1924****EXPRESS MAIL CERTIFICATION (37 CFR §1.10)**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231, on

Dec 08, 2000

Signature



Name (printed) T.H.P. Richardson

"Express Mail" Mailing Label No. **EE 404385112US**

Respectfully Submitted,



Name: T.H.P. Richardson, Reg. No. 28,805

Tel: 650-854-6304

Fax: 626-795-6321

Address: Sheldon & Mak
225 South Lake Avenue, 9th floor
Pasadena, CA 91101

12/08/00

12/08/00

12/08/00

FEE TRANSMITTAL

Attorney Docket No.:	10762-9	First Named Inventor (a):	Bitler
Application No.:	not yet known	Filing Date:	herewith
Examiner:	T. Yoon (parent application)	Group Art Unit:	1924 (parent application)

(a) Where an inventor is not named, alphanumeric identifier provided in lieu thereof.

Fee Calculations (Applicant is a small entity)

	Applicable Fee	Fee Paid
(1) Filing fee	690/345	(\$ 345.00)

(2) Extra claim fees

Claims in specification as filed and as amended by the attached Preliminary Amendment

	No. in Specification or after Amendment	No. Included in Filing Fee or Previously Paid For	No. Extra (b)	Applicable Fee	Fee Paid
Total Claims	101	- 20 =	81	x 18/9 (ea)	729.00
Independent Claims	6	- 3 =	3	x 78/39 (ea)	117.00
Multiple dependent claim(s) presented for first time (c)				No	260/130
Subtotal (2) Extra claim fees					(\$ 846.00)

(b) If less than zero, enter "0."

(c) Pay fee if "yes" entered.

(3) Additional or other fees

	Fee Paid
Extension for Reply (1, 2, 3, 4 months: \$110/55, 380/190, 870/435, 1,360/680)	month
Notice of Appeal (300/150)	
Petition to Commissioner (\$130)	
Information Disclosure Statement (\$240)	
Subtotal (3) Additional or other fees	

Total Fees

Total Fees Submitted (Sum of Subtotals (1), (2), and (3))	\$1191.00
---	-----------

A check for \$ 1191.00 is attached

Authorization to Charge Deposit Account for Additional Fees

The Assistant Commissioner for Patents is hereby authorized to charge any additional fees required under 37 CFR §§ 1.16 and 1.17, and credit any overpayments to, the following deposit account.

Deposit Account No: **19-2090**

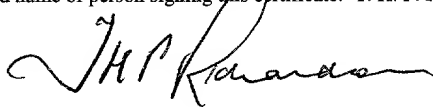
Deposit Account Name: **Sheldon & Mak**

This sheet is transmitted **in duplicate**.

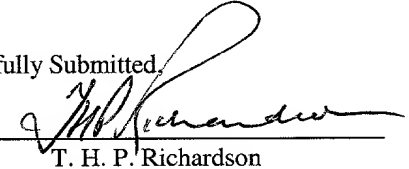
EXPRESS MAIL CERTIFICATION (37 CFR 1.10)

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

On December 8, 2000 "Express Mail" Mailing Label No. EE 404385112US
Typed or printed name of person signing this certificate: T. H. P. Richardson
Signature



Respectfully Submitted,


Name T. H. P. Richardson
Tel. No. 650-854-6304
Fax No. 626-795-6321
Address Sheldon & Mak
225 South Lake Avenue
Pasadena
CA 91101